

Jobs and Opportunity Initiative Brazil

Declaration of Awareness and Agreement with the General Terms and Conditions of the Financial Aid Contract

Round 2 (Second Semester 2022)

JOI Brazil can accommodate two research funding management models (*Model Executed by J-PAL LAC* and *Model Executed by Another Host Institution*). These models are meant to work within institutional constraints to best accommodate the needs of projects from different types of organizations involved in the research, researcher host institutions, funding amounts, among other concerns. **Please read the descriptions of the two models carefully.**

Description of the proposed models

The financing conditions are established by JOI Brazil in partnership with Insper. The funds are earmarked directly for research and must be managed by an entity indicated by the researcher and approved by JOI Brazil and Insper. For this reason, we reserve the right to reject proposals that indicate institutions not accredited by the initiative according to its criteria and international standards.

In compliance with the prevailing legislation and the internal rules of each host institution, applicants should familiarize themselves with the available financial management models as described below, and indicate their preferred option in the proposal¹:

1. ***Model executed by J-PAL LAC***: According to Insper's policies and instructions for disbursement and accountability, J-PAL LAC in partnership with Insper manages the financial resources and research activities of the project. Please note that Development Grants should necessarily adhere to the ***Model Executed by J-PAL LAC*** in the appropriate field below.
2. ***Model executed by Another Host Institution***: The host institution of the research, directly or through an accredited or authorized Research

¹ Please note that Development Grants should necessarily adhere to the Model Executed by J-PAL LAC.

Support Foundation, will receive award funds from Insper and will execute the project.

Relevant issues for the model definition

Eventually, the model indicated in this current form may not be the most adequate, which may only be discovered after the submission of the project for evaluation. In this case, we reserve the right to change the financial management model of the project, which must be accepted by the researcher for the project to proceed. In case of non-acceptance, the financing will not move forward.

In some cases, legal requirements, regulations, or internal rules of the host institution will require one of the funding models to be applied. PIs must evaluate these rules with their host institution to understand any particular requirements². **It is the PI's responsibility to check with the host institution to verify the possibility of external management of the funding resources.**

We will work with you to make sure your award is made in a way that suits you, your project, your affiliated institution(s), and your needs for administrative support.

Regardless of the financial management modality adopted, **the PIs, together with their host institution and any supporting entities, will always be the sole and exclusive responsible for the correct and careful allocation and use of research funds**, and will be accountable to JOI Brazil and Insper under the terms of the obligations assumed in the contract.

Financial specificities of both models

Independently of the chosen model, the proposal's budget will have to include a line of costs regarding the management of the project.

² Especially in public universities and research institutions, the administrative and financial management can be imposed by the institution itself or by a Research Support entity accredited or authorized for this purpose. Some private institutions may also have rules or limitations for external financial support for research, conditioning, for example, that the resources must be passed on and managed by the institution itself to be applied in the project.

In the ***Model executed by J-PAL LAC***, each research project must provide for direct costs with dedicated staff from JOI Brazil and/or Insper. The staff would be responsible for the financial management and the release of the necessary funds to be allocated to the project, as well as for the evaluation of the relevant accounts. This assessment would be provided whether in the payment to researchers, or in the acquisition of equipment, materials, travel, and other expenses necessary for the satisfactory execution of the research (fundable items).

In the ***Model executed by the Host Institution***, the budget must specify the percentage of the administrative fees related to the management of the financial support that will be deducted directly from the amounts allocated to the projects, according to the rules of the institution/managing entity. Indirect charges are limited to 10% of the direct costs for entities from high-income countries (basically OECD countries) and 15% for entities from low or middle-income countries.

All amounts are in Brazilian Real (BRL) and must be considered as such in the research project budget and in its effective application. The amounts available for the project will not experience any kind of monetary correction, update, revision, or alteration. Any transfer or payment will be made in BRL or approved in BRL. Even when made abroad, the values must be considered in BRL and converted at the exchange rate of the day of the transfer/payment. Any financial losses resulting from exchange rate fluctuations will not be subject to review or reimbursement and are the responsibility of the applicant and their host institution.

Awareness and agreement field

I declare that I have read and understood and am aware of the two funding modalities described hereinabove. I am also aware that Development Grants should necessarily adhere to the ***Model Executed by J-PAL LAC***. I hereby indicate that I will pursue with:

- ☐ ***Model executed by J-PAL LAC***
- ☐ ***Model executed by Another Host Institution***

Name:

Signature:

Date: